



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/FI00/00377</p> <p>(22) International Filing Date: 28 April 2000 (28.04.00)</p> <p>(30) Priority Data: 990992 30 April 1999 (30.04.99) FI</p> <p>(71) Applicant (for all designated States except US): LOCUS GENEX OY [FI/FI]; Laippatie 1, FIN-00880 Helsinki (FI).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): SIPPONEN, Pentti [FI/FI]; Käärmesaarentie 4 A, FIN-02160 Espoo (FI). HÄRKÖNEN, Matti [FI/FI]; Harjuviita 14 C, FIN-02110 Espoo (FI). SUOVANIEMI, Osmo [FI/FI]; Kulopolku 6, FIN-00570 Helsinki (FI). FORSBLOM, Erik [FI/FI]; Soukanlahdentie 8 A 7, FIN-02360 Espoo (FI).</p> <p>(74) Agent: OY JALO ANT-WUORINEN AB; Iso Roobertinkatu 4-6 A, FIN-00120 Helsinki (FI).</p>	<p>(81) Designated States: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	
<p>(54) Title: METHOD FOR ASSESSING THE RISK OF PEPTIC ULCER, COMPRISING THE STEPS OF DETERMINING QUANTITATIVELY THE CONCENTRATIONS OF PEPSINOGEN I (PGI) AND GASTRIN-17 IN A SERUM SAMPLE</p>		
<p>(57) Abstract</p> <p>The present invention concerns a method for assessing the risk of peptic ulcer by determining the presence and topographic phenotype of gastritis in an individual, by determining quantitatively the pepsinogen I and gastrin-17 concentrations in a serum sample from the said individual, selecting a method-specific reference value and cut-off value for respective analyte, assessing the topography and phenotype of gastritis based on a comparison of the pepsinogen I and gastrin-17 concentrations so determined with their respective method-specific reference and cut-off values, and correlating the so assessed gastritis phenotype with the risk for peptic ulcer. Preferably also <i>Helicobacter</i> antibodies are determined in the sample.</p>		

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Method for assessing the risk of peptic ulcer, comprising the steps of determining quantitatively the concentrations of pepsinogen I (PGI) and gastrin-17 in a serum sample

This invention relates to a method for assessing the risk of peptic ulcer by determining the presence and topographic phenotype of gastritis in an individual.

5

Chronic gastritis is an extremely common disorder. It is estimated that nearly half of the world's population will get gastritis during their lifetime. Chronic gastritis is most often caused by *Helicobacter pylori* infection and can be considered an immunological reaction against this bacterium in a great majority of cases [1-6].

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Chronic gastritis is a rather unique bacterial infection with characteristic chronicity and life-long duration. A spontaneous healing of gastritis, normalization of the gastric mucosa in both antrum and corpus, is a rare event. Usually the natural course of chronic gastritis is a sequence of alterations from inflammation to at-

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rophy which significantly changes the structure and function of the gastric mucosa. The outcome of chronic gastritis represents several important disorders, all of which seem to show an association with a specific alteration and with some particular state in the course of gastritis.

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Bacterial infection results in simple inflammation which consists of immunocompetent lymphocytes and plasma cells, and often granulocytes, in the gastric mucosa [7-11]. Studies in children and young people suggest that this chronic inflammation is the prevailing initial phenotype of gastritis in early age. In the elderly, atrophy and intestinal metaplasia of the underlying mucosa are common phenomena and

25

increase in prevalence with age [12-18].

Studies suggest a slow progression of chronic gastritis into atrophy. Gastritis and subsequent atrophy are important causes of several functional and homeostatic impairments of the gastric mucosa. Atrophy results in failure of the secretion of acid, pepsinogens and gastrin from the corpus and antral mucosa along with the development of atrophy (loss of normal mucosal glands).

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*Helicobacter pylori* infection and gastritis are important risk factors for peptic ulcer, both duodenal and gastric. Gastritis precedes both duodenal and gastric ulcer suggesting a causal relationship between *H. pylori* infection, gastritis and ulcer formation [19,20]. Antral gastritis (inflammation limited to antrum) or pangastritis (inflammation of both antrum and corpus) increase the risk for duodenal ulcer approximately 10-fold [19]. Antral or pangastritis with coexisting antral atrophy may increase the risk of gastric ulcer in particular, both in cumulative and relative terms, several tens of times compared with the risk in people with a normal stomach [21, 22].

Gastritis may also decrease the risk of ulcers. This is especially the case when gastritis occurs in the corpus and progresses into marked atrophy. Irrespective of the presence and grade of lesions in the antrum, the risk of peptic ulcer is decreased to a level which is even lower than that in people with a normal stomach.

In general, the risk of peptic ulcer increases exponentially with an increasing grade of antral lesions (gastritis and atrophy), but decreases exponentially with an increasing grade of lesions in the corpus.

It is possible to electrophoretically distinguish 7 different pepsinogens from the gastric mucosa in humans. Of these the five fastest form the immunologically uniform group of pepsinogen I. The other two form the pepsinogen II group. The group I pepsinogens are synthesized only in the main cells and the mucous secreting cells of the corpus area of the stomach. In contrast thereto, group II pepsinogens are formed in the glands over the whole stomach area and to some degree also in the upper part of the duodenum in the Brunner's glands. In the serum of a healthy person the pepsinogen I concentration is approximately 6 times that of the pepsinogen II concentration. In atrophic gastritis of the corpus area of the stomach the serum pepsinogen I concentration decreases, whereas the serum pepsinogen II concentration remains at the previous level. Thus, the serum pepsinogen I concentration fairly well reflects the number of pepsinogen secreting cells in the corpus area of the stomach, and their condition. The more serious the atrophic gastritis of

the corpus area of the stomach is, the lower is the serum pepsinogen I concentration. A low pepsinogen I concentration in the serum indicates severe atrophic corpus gastritis with a sensitivity of over 90 % and a specificity of almost 100 % [23].

5 Gastrin is secreted in the gastrointestinal tract in at least three different forms, the immunoreactive activity of all these forms being measured when serum gastrin is determined (total serum gastrin). Gastrin subtypes are the so-called minigastrin (G-14), little gastrin (G-17) and big gastrin (G-34). Physiologically most important are gastrin-17 and gastrin-34. The effect of gastrin-17 on the secretion of hydrochloric  
10 acid is 6 times that of gastrin-34. Gastrin is secreted from the so-called G-cells, which appear both in antrum and in duodenum. The most important accelerators of gastrin secretion is the tonus of the vagus nerve and the protein degradation products. The secretion of gastrin is slowed down by a pH decrease of below 2.5. The gastrin secreted from the antrum is to over 90 % of the gastrin-17 type, whereas  
15 the duodenal gastrin is primarily of the gastrin-34 type [24]. In a fasting situation, primarily gastrin-34 is found in the serum, whereas after a meal the serum gastrin is of the gastrin-17 type [25]. The secretion of gastrin-17 can also be studied using the so-called protein stimulation test. In such a test, a blood sample after fasting is taken in the morning, whereafter the patient eats a protein rich standard meal and  
20 blood samples are taken at 15 minute intervals for two hours. The maximal increase is evident after appr. 20 minutes.

In atrophic antrum gastritis the mucous membrane of the antrum is atrophied and thus its gastrin-17 secretion decreases and its concentration in the serum is reduced.  
25 A reduced gastrin-17 concentration in the serum would thus be an indicator of antrum atrophy and of an increased risk of cancer in this area. In case the mucous membrane of the antrum is atrophied, there is a reduced response also in the protein stimulation test, which seems to be a more sensitive indicator of atrophy than the mere concentration determination. In the publication WO 96/15456, there is  
30 described a method for screening for the risk of cancer, by determining atrophy in the various parts of the stomach.

Due to the high prevalence of chronic gastritis especially in the elderly population, it would, however, be of importance to develop a method also for assessing the presence of and topographic phenotypes of chronic gastritis in order to assess the risk for peptic ulcer associated therewith. Especially it would be beneficial to  
5 develop a method which would allow the said assessment to be carried out in a non-invasive manner, that is, without having to resort to biopsy sampling of the mucosa during diagnostic gastroscopy. It would also be advantageous to develop a method which would allow not only an assessment of the risk of peptic ulcer, but a method which would allow the differentiation between the risk of gastric ulcer and  
10 that of duodenal ulcer.

The above mentioned objects are achieved with the method according to the invention, which concerns a method for assessing the risk of peptic ulcer in an individual, the method comprising the steps of

- 15 - determining quantitatively the pepsinogen I and gastrin-17 concentrations in a serum sample from the said individual,
- selecting a method-specific reference value and cut-off value for respective analytes,
- comparing the pepsinogen I and gastrin-17 concentrations so determined with their respective method-specific reference value and cut-off value,  
20 whereby a serum pepsinogen I and gastrin-17 concentration above the upper limit of respective reference value, or a serum pepsinogen I concentration above the upper limit of its reference value in combination with a gastrin-17 concentration within the reference range or below its cut-off value, indicates an increased risk of  
25 peptic ulcer in said individual.

The present invention thus includes a step of identifying an individual having either a serum pepsinogen I and gastrin-17 concentration above the upper limit of respective reference value, or a serum pepsinogen I concentration above the upper limit  
30 of its reference value in combination with a gastrin-17 concentration within the reference range or below its cut-off value, as being an individual with an increased risk of, or having a predisposition for, peptic ulcer.

According to a preferred embodiment of the invention, the method includes a step of diagnosing said individual also for *Helicobacter pylori* infection by determining *Helicobacter pylori* antibodies in the serum sample.

- 5 The method according to the invention thus uses in combination, two or preferably three determinations from a serum sample of a patient to be screened for the risk of peptic ulcer, namely a determination of serum pepsinogen I (PGI), gastrin-17, (G-17) and optionally also *Helicobacter pylori* antibodies.
- 10 The different methods for the determination of the PGI, G-17 and *Helico* antibodies are as such well known to the person skilled in the art, and there are also kits commercially available for carrying out the determinations. Such methods are usually immunological methods, using mono- or polyclonal antibodies to the analytes. The detection methods for use include, for example, measuring absorbance,
- 15 fluorescence or luminescence. It is also possible to carry out all the three measurements simultaneously, for example on the same microplate, in different wells thereon, which combined assay system provides for an especially convenient method of diagnosis.
- 20 The invention includes a step of comparing the measured analyte concentrations to method-specific cut-off and reference values for said analytes. The selection of such values is well known to a person skilled in the art, and depends on the specificity and sensitivity chosen for the test method used for the determination of the analyte concentrations, see e.g. William J Marshall, *Clinical Chemistry*, Third
- 25 Edition, 1995, Mosby.

For the determination of *Helicobacter pylori* antibodies, a number of commercial "kits" are available (e.g. Orion Pyloriset EIA-G, Pyloriset EIA-A, EIA 2G by Roche, Pyloristat by Whittaker Bioproducts). Antigens can be prepared from *Helicobacter pylori* bacteria in various ways [26] and they are also commercially available.

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In the appended drawing,

Fig. 1 illustrates the topographic phenotypes of chronic gastritis and associated risk for gastric disease, and

Fig. 2 illustrates the association between serological tests for SPGI, G-17 and  
5 *Helicobacter pylori* infection and the topographic phenotypes of chronic gastritis.

In the invention, the term "topography" or "topographic" as used, refers to the location of the gastritis in the stomach. In both corpus and antrum mucosa, one distinguishes between the phenotypes: normal, gastritis (superficial gastritis) and atrophic gastritis, which atrophic gastritis in turn is classified, in order of severity, in  
10 mild, moderate and severe atrophic gastritis.

As is evident from the Fig. 1, there is an increased risk (as compared to individuals with a healthy stomach, marked R in the Figures) for gastric and especially for  
15 duodenal ulcer when the gastritis on both the corpus and antrum mucosa is of superficial or mild atrophic phenotype, the risk increasing especially with increasing severity of antral gastritis. In this case both the serum pepsinogen I and the gastrin-17 concentrations are increased over their reference values, the upper reference limit being, depending on the specificity and sensitivity agreed upon for the  
20 method in question, 25 - 120  $\mu\text{g/l}$  for PGI. Also the gastrin-17 will be above its normal or reference values, which are in the range of 2 - 25 pmol/l. For the *Helicobacter pylori* positiveness, the cut-off titer is 200 - 500.

In a situation where the corpus is normal or the gastritis in the corpus is of superficial phenotype and that of the antrum is of moderate to severe atrophic phenotype,  
25 there is an increased risk especially for gastric ulcer (as well as gastric cancer). In this situation, the pepsinogen I concentration is still above the upper limit of its reference value, as indicated above, but the gastrin-17 value is at normal range, at its lower reference value, or below its cut-off value for severe atrophy, which  
30 depending on the specificity and sensitivity of the method is 0.1 - 2 pmol/l. This method can be combined with a protein stimulation test, by measuring the gastrin-17 concentration in the serum at the base line situation and then after protein stimu-



lation, for example after a protein rich standard meal. A lack of response in this test supports the risk of gastric ulcer.

5 It can also be seen from the Figures that at increasing severity of atrophic corpus gastritis, with no or only superficial antrum gastritis, the serum pepsinogen I concentration falls below the cut-off value indicating an increased risk i.a. for cancer and pernicious anaemia, which cut-off value, depending on the specificity and sensitivity of the chosen method, is 20 - 30  $\mu\text{g/l}$ . The gastrin-17 concentration is still above its reference value as indicated above.

10

At increasing severity of both antral and corpus atrophic gastritis, the serum pepsinogen I concentration is below its cut-off value indicating an increased risk of cancer, and the serum gastrin-17 concentration is at its lower reference limit, or below its cut-off value indicating an increased risk of cancer. These gastritis phenotypes are associated with a very high risk of gastric cancer.

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The use of the combination method for assessing gastritis phenotypes of the mucosa in the various parts of the stomach as described above, is shown in the Table 1.

Table 1. Combination method for serum pepsinogen I and gastrin-17 for assessing phenotypes of gastritis of the mucosa of the corpus area or the antrum area of the stomach.

5

Table 1

Topography & Phenotype	CORPUS			
		1-3	4-5	Assay
A N T R U M	1-3	> upper ref. limit > upper ref. limit (+)	< cut-off value > > upper ref. limit (+) / (-)	SPGI SG-17 Helico
	4-5	> upper ref. limit normal or $\leq$ cut-off value (+)	< cut-off value < cut-off value (-)	SPGI SG-17 Helico

phenotype: 1 = normal, 2 = superficial gastritis, 3 = mild, 4 = moderate, 5 =  
severe atrophic gastritis  
SPGI = serum pepsinogen I  
SG-17 = serum gastrin-17

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## Claims

1. Method for assessing the risk of peptic ulcer in an individual, the method comprising the steps of
- determining quantitatively the pepsinogen I and gastrin-17 concentrations in a serum sample from the said individual,
  - selecting a method-specific reference value and cut-off value for respective analytes,
  - comparing the pepsinogen I and gastrin-17 concentrations so determined with their respective method-specific reference value and cut-off value, whereby a serum pepsinogen I and gastrin-17 concentration above the upper limit of respective reference value, or a serum pepsinogen I concentration above the upper limit of its reference value in combination with a gastrin-17 concentration within the reference range or below its cut-off value, indicates an increased risk of peptic ulcer in said individual.
2. The method according to claim 1, wherein also a *Helicobacter pylori* antibody determination is carried out on a serum sample.
3. The method according to the claim 1, wherein the serum gastrin-17 concentration is also measured using a protein stimulation test by measuring the said concentration at the base line situation and after a protein rich standard meal, a lack of response being indicative of a risk of gastric ulcer.
4. The method according to the claim 1, 2 or 3, wherein the pepsinogen I and gastrin-17 is determined immunologically using a plastic, glass or cellulose support.
5. The method according to the claim 4, wherein the support is a microplate.

6. The method according to any one of the claims 1 to 5, wherein a detection method based on measuring absorbance, fluorescence or luminescence is used for the pepsinogen I and gastrin-17 determination.
- 5 7. The method according to any one of the claims 4 to 6, wherein for the determination of the pepsinogen I concentration, a polyclonal or monoclonal antibody to pepsinogen I is used.
- 10 8. The method according to any one of the claims 4 to 7, wherein for the determination of the gastrin-17 concentration, a polyclonal or monoclonal antibody to gastrin-17 is used.
- 15 9. The method according to any preceding claim, wherein the pepsinogen I, gastrin-17 and *Helicobacter pylori* determination methods are combined to a kit-method, wherein the determinations are carried out simultaneously on a microplate using polyclonal and monoclonal antibodies, as well as detection methods based on absorption, fluorescence or luminescence.

# TOPOGRAPHIC PHENOTYPES OF CHRONIC GASTRITIS AND THE RISK OF GASTRIC DISEASES

## *CORPUS MUCOSA*

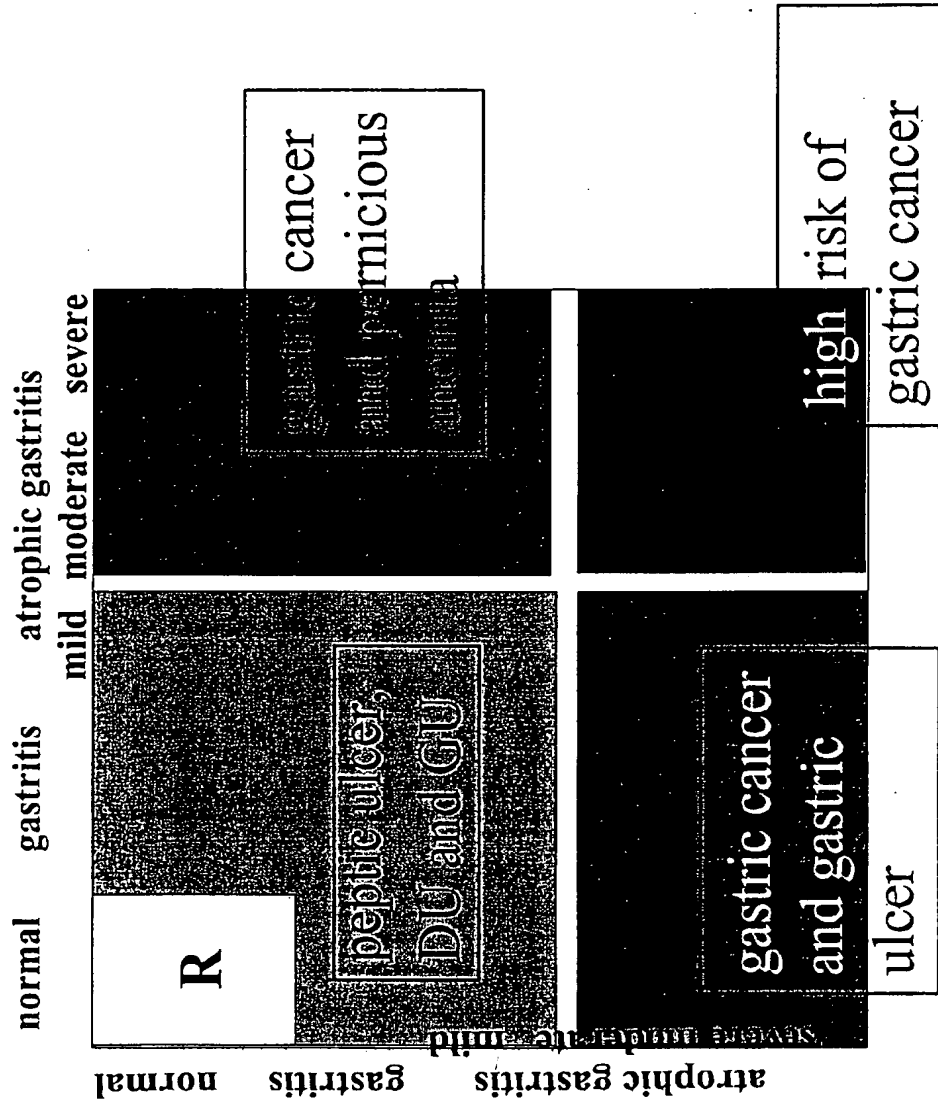


FIG. 1

## *ANTRAL MUCOSA*

# SEROLOGICAL TESTS AND THE TOPOGRAPHIC PHENOTYPES OF CHRONIC GASTRITIS

## *CORPUS MUCOSA*

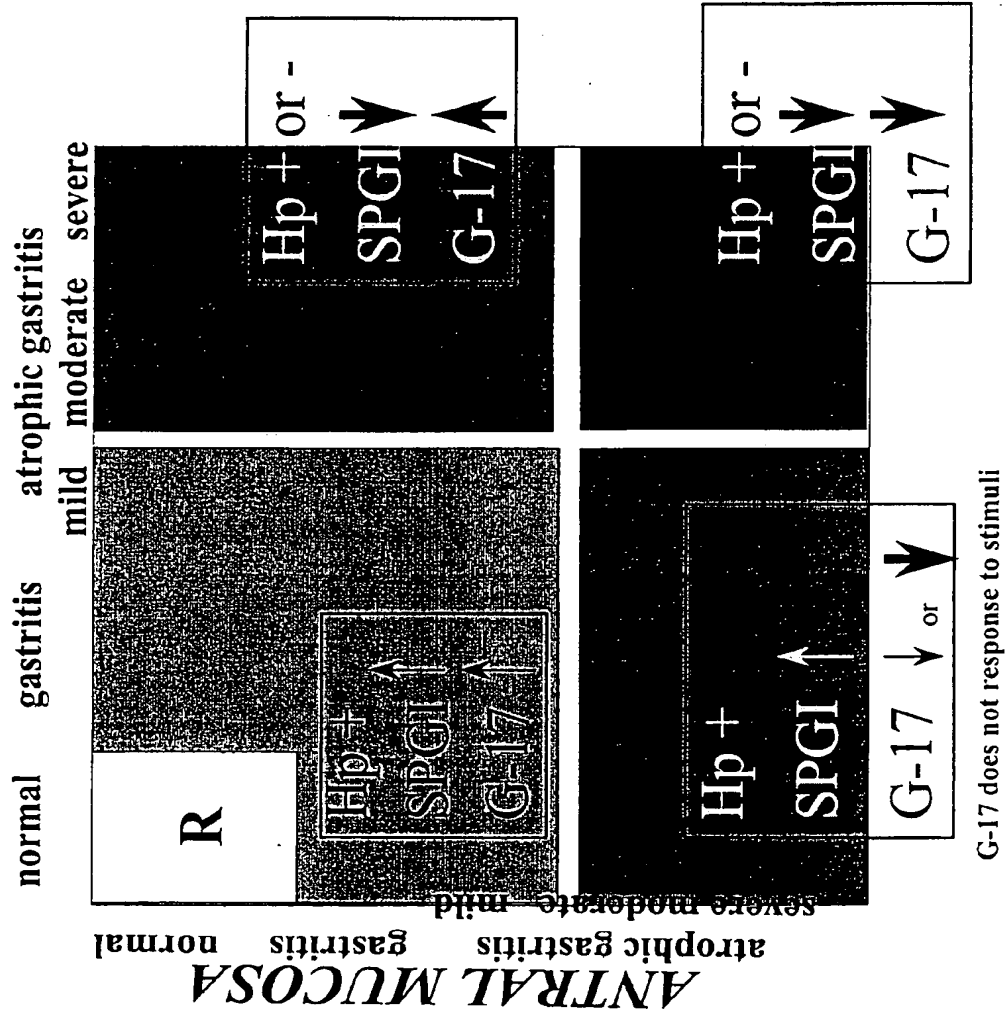


FIG. 2



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/FI 00/00377

A. CLASSIFICATION OF SUBJECT MATTER		
IPC7: G01N 33/74, G01N 33/573 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
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IPC7: G01N		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
SE,DK,FI,NO classes as above		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	The American Journal of Gastroenterology, Volume 89, No 9, 1994, Tseng-Shing Chen, M.D. et al, "effect of Eradiction of Helicobacter pylori on Serum Pepsinogen I, Gastrin, and Insulin in Duodenal Ulcer Patients: A 12-month Follow-up Study" page 1511 - page 1514  --	1-9
A	WO 9615456 A1 (LOCUS GENEX OY), 23 May 1996 (23.05.96), see especially page 6, lines 16-25; page 7, line 28 - page 11, line 23  --	1-9
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## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	European Journal of Gastroenterology & Hepatology, Volume 11, 1999, Javier P. Gisbert et al, "Basal and stimulated gastrin and pepsinogen levels after eradication of Helicobacter pylori: a 1-year follow-up study" page 189 - page 200  -----	1-9

# INTERNATIONAL SEARCH REPORT

Information on patent family members

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